

Fairtrade Standard for Small-scale Producer Organizations (03.04.2019_v2.3)

Interpretation Notes

Date	30 October 2020				
Reference	SPO Standard				
Affected standard requirement	<p>1.2 Definition of a small-scale producer organization</p> <p>1.2.1 NEW 2019*** Share of members that are small-scale producers</p> <table border="1"> <tr> <td>Core</td> <td>You are an organization of small-scale producers. Fairtrade defines a small-scale producer organization as an organization where at least two thirds (2/3 or 66%) of its members are small-scale producers.</td> </tr> <tr> <td>Year 0</td> <td></td> </tr> </table> <p><small>***Organizations certified before 1 July 2019 have to comply with this requirement by 1 January 2022. Until then, the requirement 1.2.1 of the Fairtrade Standard for SPO Version 01.05.2011 v1.5 applies.</small></p>	Core	You are an organization of small-scale producers. Fairtrade defines a small-scale producer organization as an organization where at least two thirds (2/3 or 66%) of its members are small-scale producers.	Year 0	
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Interpretation	<p>According to requirement SPO 1.2.1, Fairtrade defines a small-scale producer organization as an organization where at least two thirds (66%) of its members are small-scale producers.</p> <p>For the remaining 34%, are there any restrictions on who can join as a member of an SPO according to Fairtrade standards? Can the ones joining an SPO only be individual small-scale producers as mentioned in the definition section of the SPO Standard?</p> <p>There is one clear restriction that limits the land size of all members (see requirement 1.2.3) generally to 30ha (with some exceptions applicable for sugar, wine grapes, and citrus and avocados in Brazil).</p> <p>There are no further restrictions, provided that all requirements of the SPO Standard are taken into account. The most important requirements to consider is that the organization follows its own rules and statutes and the applicable laws in the respective country.</p> <p>Secondly, it is vital that the applicable requirements on democracy and participation in the SPO Standard can be followed with the membership structure that is in place, e.g. that there is one vote per member in the general assembly.</p> <p>In any case, the applicable requirements on the share of small-scale producers (66% of individual small-scale producers compliant with the individual SPO land size indicator if applicable) need to be complied with.</p> <p>With regards to the question if members can only be individuals: It is assumed that if there are members that are not individual persons - e.g. institutions, churches or special legal forms like the societe civile in Mauritius - these make up only a small</p>				

	<p>portion of the membership and that consequently democracy and participation is not at risk, even though the cases are not strictly speaking individual members.</p> <p>In all cases, the requirements stated above apply: members generally have to be in agreement, the share of members and indicators (if applicable) are fulfilled, the arrangement has to be compliant with local laws and the principles of democracy and participation have to be respected.</p> <p>Specifications for particular cases</p> <p><u>Non-agricultural members</u></p> <p>Non-agricultural members are allowed to be a member of a first grade SPO, provided that all requirements stated above are complied with. In addition, there needs to be agreement from all members, particularly the members producing the Fairtrade product, that all members have the same voting rights and can vote/approve Premium projects as well as benefit from it (no discrimination of any members).</p> <p><u>Members not producing the Fairtrade crop for which the organization is certified</u></p> <p>It is allowed that the organization has members that do not produce the Fairtrade crop provided that all requirements stated above are complied with. As in the above case, there needs to be agreement that all members have the same rights and access to the same benefits.</p> <p>If those members producing the Fairtrade crop want to have the full decision-making power over the Fairtrade Premium, they would need to form an independent organization, and become Fairtrade certified. This could be a sub-group of the original one, but needs to be legally recognized as stand-alone legal entity.</p> <p><u>The case of “societes civiles” in Mauritius</u></p> <p>The societe civile is a society form that is used by the sugar sector in Mauritius and is mainly established to avoid the parceling out of land into small pieces. A Societe Civile (SC) comprises usually a family, where the number of members as well as the land area varies. While there are several people as actors in the societe civile, the whole societe is considered as one member and has one vote. Independent of the number of members and sizes, it is one vote and therefore does not interfere with democracy and participation principles in the SPO. The societe civile is therefore allowed to be a member of a first grade SPO.</p> <p>Requirements on land size (SPO land size indicator for sugar and maximum land size) as well as share of members need to be complied with.</p> <p>Transparency needs to be assured and any societe civile needs to be indicated in the member list, including all the members. In particular, it needs to be ensured that member business is separated from non-member business.</p>
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Date	10 June 2020				
Reference	SPO Standard				
Affected standard requirement	<p>1.2.2 Definition of an individual small-scale producer</p> <table border="1"> <tr> <td>Core</td> <td>All small-scale farms are operated and managed by members and their families. This means that members and their families are directly involved in farming activities (unless not possible due to age, incapacity etc.)</td> </tr> <tr> <td>Year 0</td> <td> <p>Your members are considered small- scale producers if they comply with the following criteria:</p> <ul style="list-style-type: none"> • Members do not hire workers on a continuous basis and they work on their own-account • Farm work is mostly done by members and their families; In particular circumstances when the farmer is not able to carry out work on the farm (e.g. due to age, incapacity) and the work of the family members is not sufficient, hiring permanent labour is allowed. <p>If your members produce cane sugar, prepared and preserved fruit and vegetables, fresh fruit, vegetables or tea, they are allowed to hire workers on a continuous basis to support the farm work.</p> <p>In this case, they are considered small- scale producers if they comply with the specific product indicators on land size defined by</p> <p><u>Fairtrade International.</u> Please see the table for <u>SPO indicators for farm size and average number of workers</u> for more information</p> <p>For the certification of Fairtrade products the definition of a small-scale producer prevails in case there is national legislation that defines a small-scale producer in the regional context.</p> </td> </tr> </table> <p>Guidance: Family and small-scale farming is a means of organizing agricultural production which is managed and operated by a family and predominantly reliant on family labour, both women's and men's. Seasonal workers are allowed to work along with family members in peak seasons (e.g. harvest).</p> <p><i>***Organizations certified before 1 July 2019 have to comply with this requirement by 1 January 2022. Until then, the requirement 1.2.1 of the Fairtrade Standard for SPO Version 01.05.2011 v1.5 applies.</i></p>	Core	All small-scale farms are operated and managed by members and their families. This means that members and their families are directly involved in farming activities (unless not possible due to age, incapacity etc.)	Year 0	<p>Your members are considered small- scale producers if they comply with the following criteria:</p> <ul style="list-style-type: none"> • Members do not hire workers on a continuous basis and they work on their own-account • Farm work is mostly done by members and their families; In particular circumstances when the farmer is not able to carry out work on the farm (e.g. due to age, incapacity) and the work of the family members is not sufficient, hiring permanent labour is allowed. <p>If your members produce cane sugar, prepared and preserved fruit and vegetables, fresh fruit, vegetables or tea, they are allowed to hire workers on a continuous basis to support the farm work.</p> <p>In this case, they are considered small- scale producers if they comply with the specific product indicators on land size defined by</p> <p><u>Fairtrade International.</u> Please see the table for <u>SPO indicators for farm size and average number of workers</u> for more information</p> <p>For the certification of Fairtrade products the definition of a small-scale producer prevails in case there is national legislation that defines a small-scale producer in the regional context.</p>
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Interpretation	<p>According to requirement SPO 1.2.2, workers are only allowed if they support the farm work in addition to members and their families working on their own fields.</p> <p>In Mauritius, are members allowed to take on regular jobs outside of the farm and not be present on their fields, while they hire workers to carry out the farm activities?</p>				



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	<p>In Mauritius, some smaller SPO members hire workers to do the farm work while the members themselves have additional regular jobs outside of the sugar farming to have sufficient income to make a living. Small-scale members in Mauritius that have less than 10 hectares of cane sugar are allowed to pursue other economic activities outside of sugar farming and hire workers to carry out the farming work on the sugar fields. In these particular cases, the criteria that workers are only allowed if they work in addition to members does not apply.</p>
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Date	27 March 2020				
Reference	SPO Standard				
Affected standard requirement	<p>4.1.7 General Assembly approval of Fairtrade Development Plan</p> <table border="1" style="width: 100%;"> <tr> <td style="width: 15%;">Core</td> <td>Before you implement the Fairtrade Development Plan, you present it to the General Assembly for discussion and approval. You document the decisions.</td> </tr> <tr> <td>Year 1</td> <td> <p>If you are a 2nd or 3rd grade organization, your General Assembly of members (or if delegate system, then of delegates) at the 2nd/3rd grade level decide on the use of the Fairtrade Premium. Delegates need to consult with the members from their respective organizations.</p> <p>If Fairtrade Premium is channelled to the member organizations directly, the General Assemblies of the member organizations decide on the use of the distributed Fairtrade Premium share. You ensure that the Fairtrade Premium received is channelled to the member organizations without delay according to the allocation system agreed.</p> </td> </tr> </table> <p>Guidance: The intention is to guarantee transparent and democratic decision making. Only the General Assembly is authorised to approve the content and form of the Fairtrade Development Plan. It is possible that the Fairtrade Development Plan may need to be changed in between General Assembly meetings. This might be necessary in situations where, for example, you receive more or less Fairtrade Premium money than planned or where members or the community are affected by an unexpected event and you wish to respond. If this happens, you will need to document the decisions to make the changes, and explain the changes and get ratification from the General Assembly retrospectively.</p>	Core	Before you implement the Fairtrade Development Plan, you present it to the General Assembly for discussion and approval. You document the decisions.	Year 1	<p>If you are a 2nd or 3rd grade organization, your General Assembly of members (or if delegate system, then of delegates) at the 2nd/3rd grade level decide on the use of the Fairtrade Premium. Delegates need to consult with the members from their respective organizations.</p> <p>If Fairtrade Premium is channelled to the member organizations directly, the General Assemblies of the member organizations decide on the use of the distributed Fairtrade Premium share. You ensure that the Fairtrade Premium received is channelled to the member organizations without delay according to the allocation system agreed.</p>
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Interpretation	<p>Can the current coronavirus disease (COVID-19) pandemic, be considered and unexpected event to have more flexibility in terms of decision making on Premium use?</p> <p>Yes. The guidance in requirement 4.1.7 highlights the circumstances under which the Fairtrade Development Plan may need to be changed in between General Assemblies. If the organization identifies Premium investments to minimize the spread of the disease and/or to mitigate any potential negative effects on farmers, workers and their communities, it is possible to make these Premium investments</p>				



	<p>without prior GA approval. Changes in the Fairtrade Development Plan need to be documented.</p> <p>Once a General Assembly (GA) of members is possible, the management of the organization needs to explain the changes and ratify the Premium use retroactively.</p> <p>For guidance on basic protective measures against the COVID-19 outbreak please consult the information provided by the World Health Organization. The organizations are required to maximize the number of members and workers that benefit from these investments and that they benefit equally.</p>
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Date	05 February 2020				
Reference	SPO Standard				
Affected standard requirement(s)	<p>Introduction (page 6)</p> <p>The term small-scale producers include gatherers / collectors of wild crops. Therefore, the Standard for Small- scale Producer Organizations is applicable to associations of gatherers / collectors of wild crops such as shea tree nuts, brazil nuts, argan nuts, baobab fruits, coffee and honey when these are wild harvested.</p> <p>1.2.2 Definition of an individual small-scale producer</p> <table border="1" style="width: 100%;"> <tr> <td style="width: 10%;">Core</td> <td>All small-scale farms are operated and managed by members and their families. This means that members and their families are directly involved in farming activities (unless not possible due to age, incapacity etc.)</td> </tr> <tr> <td>Year 0</td> <td> <p>Your members are considered small- scale producers if they comply with the following criteria:</p> <ul style="list-style-type: none"> • Members do not hire workers on a continuous basis and they work on their own-account • Farm work is mostly done by members and their families; In particular circumstances when the farmer is not able to carry out work on the farm (e.g. due to age, incapacity) and the work of the family members is not sufficient, hiring permanent labour is allowed. <p>If your members produce cane sugar, prepared and preserved fruit and vegetables, fresh fruit, vegetables or tea, they are allowed to hire workers on a continuous basis to support the farm work. In this case, they are considered small- scale producers if they comply with the specific product indicators on land size defined by Fairtrade International. Please see the table for SPO indicators for farm size and average number of workers for more information.</p> <p>For the certification of Fairtrade products, the definition of a small-scale producer prevails in case there is national legislation that defines a small-scale producer in the regional context.</p> </td> </tr> </table> <p>Guidance: Family and small-scale farming is a means of organizing agricultural production which is managed and operated by a family and predominantly reliant on family labour, both women's and men's. Seasonal workers are allowed to work along with family members in peak seasons (e.g. harvest).</p> <p><i>***Organizations certified before 1 July 2019 have to comply with this requirement by 1 January 2022. Until then, the requirement 1.2.1 of the Fairtrade Standard for SPO Version 01.05.2011 v1.5 applies.</i></p>	Core	All small-scale farms are operated and managed by members and their families. This means that members and their families are directly involved in farming activities (unless not possible due to age, incapacity etc.)	Year 0	<p>Your members are considered small- scale producers if they comply with the following criteria:</p> <ul style="list-style-type: none"> • Members do not hire workers on a continuous basis and they work on their own-account • Farm work is mostly done by members and their families; In particular circumstances when the farmer is not able to carry out work on the farm (e.g. due to age, incapacity) and the work of the family members is not sufficient, hiring permanent labour is allowed. <p>If your members produce cane sugar, prepared and preserved fruit and vegetables, fresh fruit, vegetables or tea, they are allowed to hire workers on a continuous basis to support the farm work. In this case, they are considered small- scale producers if they comply with the specific product indicators on land size defined by Fairtrade International. Please see the table for SPO indicators for farm size and average number of workers for more information.</p> <p>For the certification of Fairtrade products, the definition of a small-scale producer prevails in case there is national legislation that defines a small-scale producer in the regional context.</p>
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	<p>1.2.3 NEW 2019*** Restriction on size of cultivated land</p> <table border="1" data-bbox="521 422 1471 527"> <tr> <td data-bbox="521 422 607 478">Core</td> <td data-bbox="612 422 1471 478">The maximum size of the cultivated land where a member grows a Fairtrade crop is equal to or below 30 hectares.</td> </tr> <tr> <td data-bbox="521 478 607 527">Year 0</td> <td data-bbox="612 478 1471 527"></td> </tr> </table> <p data-bbox="521 533 1471 562">Guidance: Please refer to the product standards for exceptions on this requirement.</p> <p data-bbox="521 569 1471 598">***Organizations certified before 1 July 2019 have to comply with this requirement by 1 January 2022.</p>	Core	The maximum size of the cultivated land where a member grows a Fairtrade crop is equal to or below 30 hectares.	Year 0	
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<p>Interpretation</p>	<p><i>Is the definition of a small-scale producer also applicable to gatherers of wild crops and beekeepers?</i></p> <p>The definition of small-scale producers in 1.2 is focused on agricultural production. As gatherers of wild crops and beekeepers don't carry out agricultural work, the following criteria <u>are not applicable to wild gatherers and beekeepers</u>:</p> <ul style="list-style-type: none"> - requirement 1.2.2: "The farm is operated /managed by members and their families and farm work is mostly done by members and their families". It is however important that gatherers and beekeepers are members of the organization and they work on their own account and do not hire workers. - requirement 1.2.3: the maximum land size of 30 hectares. 				



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Year 0	The maximum size of the cultivated land where a member grows a Fairtrade crop is equal to or below 30 hectares.								
Interpretation	<p><i>How is the SPO definition applied in the case of “meeiros” in Brazil?</i></p> <p>The FLOCERT requirements on <i>meeiros</i>¹ are applicable in Brazil (mostly coffee). They specify that a member of an SPO can give land to a <i>meeiro</i> who then cultivates the land and in return has to give a certain percentage of the production to the land owner.</p> <p>In order to determine whether the land owner is a small-scale producer or not, it is necessary to clarify:</p> <ul style="list-style-type: none"> - If <i>meeiros</i> are considered permanent workers of the land owner 								

¹ See FLOCERT’s compliance criteria 1.2.0.03 and 1.2.0.04, <https://www.flocert.net/wp-content/uploads/2017/08/small-producers-compliance-criteria.pdf>

	<ul style="list-style-type: none">- What counts as the land of the land owner, i.e. all the land that is owned by him/her, or only the land that is directly cultivated by him/her <p>All <i>meeiros</i> that are also members of the organizations are considered as individual members. All <i>meeiros</i> that are not members of the organization are considered as if they were permanent workers of the land owner. In products where small-scale producers are defined by not having permanent work force such as coffee, the land owner is therefore not considered a small-scale producer in this case. Additionally, the land owner as member of the SPO can only have up to 30 hectares of land where the Fairtrade crop is cultivated (including the land that is rented to <i>meeiros</i>).</p> <p>In case <i>meeiros</i> are not members, the organization has to ensure the traceability of Fairtrade products, in order to minimize the risk of non-member sales.</p> <p>The question whether <i>meeiros</i> are members or are considered workers of the land owner is in this case only relevant for the SPO definition.</p>
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Date	05 February 2020
Reference	SPO Standard
Affected standard requirement(s)	<p>Introduction (page 6)</p> <p>Organizations that start their certification on or after the 1 July 2019 will need to comply with all applicable requirements.</p> <p>Organizations that are certified before 1 July 2019 will need to comply with all applicable requirements following their regular certification cycle. The transition periods are as follows:</p> <ul style="list-style-type: none"> • Requirements marked NEW 2019*: applicable from 1 July 2019 • Requirements marked NEW 2019**: applicable from 1 April 2021 • Requirements marked NEW 2019***: applicable from 1 January 2022 • Requirements that are related to members who employ more than 10 workers working for more than 30 hours per week that are present for one month or more during a year will be applicable from 1 April 2021.
Interpretation	<p><i>Which requirements are applicable to organizations that were audited before 1 July 2019 but the certification decision was taken after 1 July 2019?</i></p> <p>Audits are based on compliance criteria that are developed built on the Fairtrade Standards.</p> <p>As the new requirements only became applicable as of 1 July 2019, any audit taking place before this date was based on the previous version of the standard and respective compliance criteria.</p> <p>Hence, for any organization that started their certification or is certified before 1 July 2019, the previous SPO standard version 1.5 applies.</p> <p>Transition periods for these organization apply as for any other organization certified before 1 July 2019. Any organizations certified against the previous compliance criteria and Fairtrade requirements have to be aware that the new requirements will become applicable to them after the transition periods indicated.</p>